



09/353-0040 (D2031)

Serial No.: 09/353,583

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Patent Application: Sam Reichgott et al.

Application No.: 09/353,583

Filed: July 15, 1999

Title: "Method and Apparatus for Preventing  
Disruptions in Set-Top Terminal Function  
Due to the Downloading of Updated  
Programming or Data to the Set-Top  
Terminal"

Confirmation No.: 3801

Examiner: TRAN, Hai V.

Group Art Unit: 2611

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Technology Center 2600

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☒ a \$180.00 fee set forth in 37 CFR 1.17(p).  
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Please charge to Deposit Account the sum of **\$180.00**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **18-0013** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☐ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit: October 21, 2003

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Respectfully submitted,

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